

Export Documentary Collection Liquidation User Guide  
**Oracle Banking Trade Finance Process Management**  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

## Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

---

# Export Documentary Collection Liquidation

Export Documentary Collection Liquidation process facilitates the user to handle the payment to an exporter from an importer via an intermediary bank.

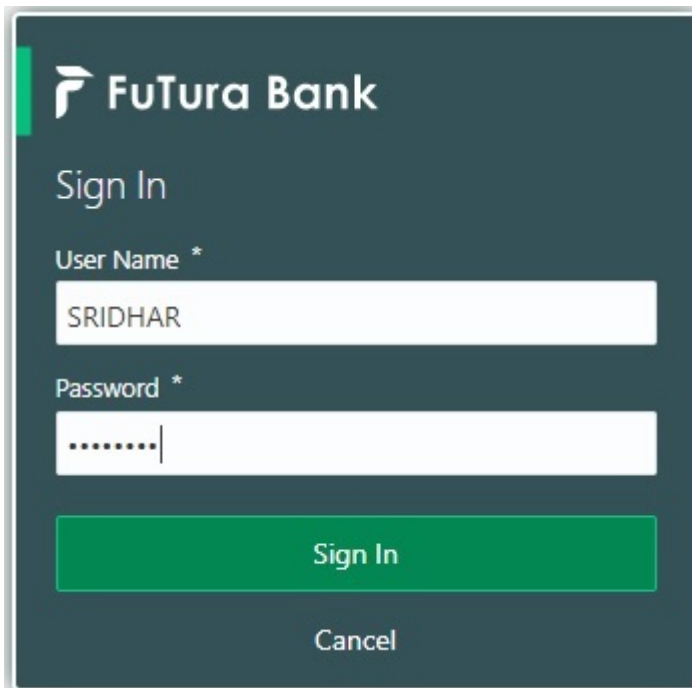
This section contains the following topics:

<a href="#">Registration</a>	<a href="#">Data Enrichment</a>
<a href="#">Exceptions</a>	<a href="#">Multi Level Approval</a>
<a href="#">Reject Approval</a>	

## Registration

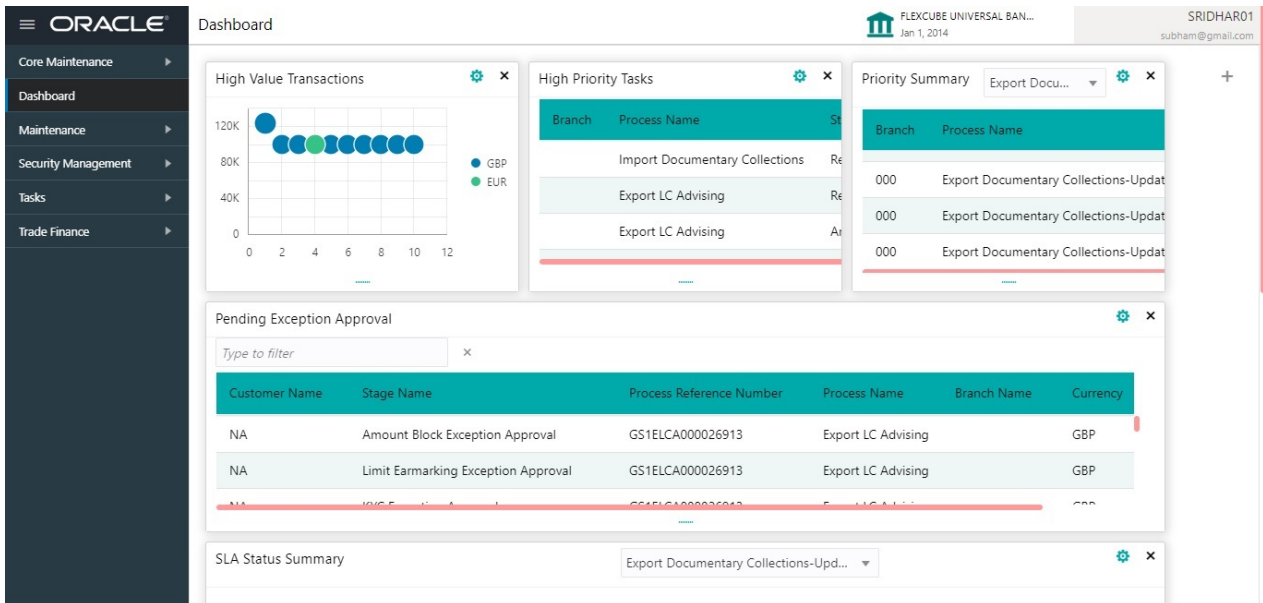
The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for a collection expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

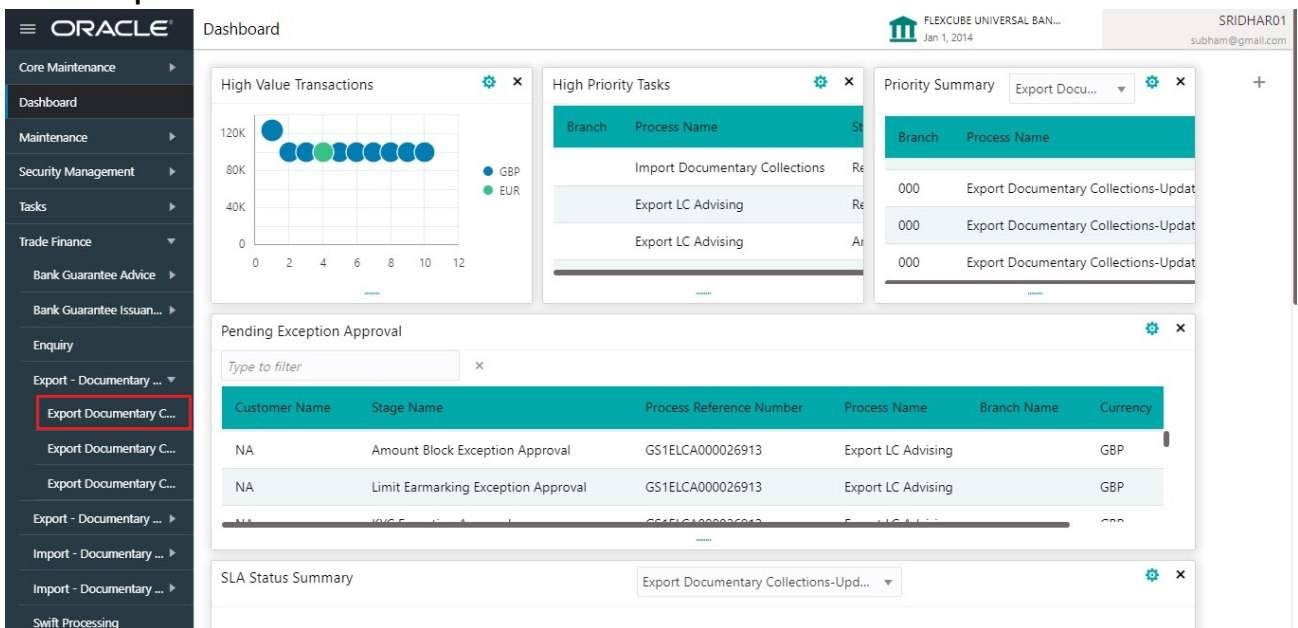


The screenshot displays the login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is centered. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A prominent green button labeled 'Sign In' is positioned below the password field, and a 'Cancel' link is located at the bottom center of the form area.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Collection> Export Documentary Collection Liquidation.



The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

# Application Details

Free Tasks

FLEXCUBE UNIVERSAL BAN...  
Jan 1, 2014
SRIDHAR01  
subham@gmail.com

Export Documentary Collection Liquidation

Documents
Remarks

### Application Details

Documentary Collection Number *	Drawer *	Branch	Bill Amount *
000ECLT140010001	000009 NESTLE	000-FLEXCUBE UNIVERSAL BANK	GBP £30,000.00
Process Reference Number	Priority	Submission Mode	Liquidation Date *
000EDCL000029877	Medium	Desk	Jan 1, 2014
Version Number			
1			

View Collection
Events

### Collection Details

Documents Received	Tenor	Product Code	Product Description
First	Usance	ECLT	OUTGOING DOCUMENTARY USANCE E
Operation Type	Contract Reference Number	Drawee	Collecting Bank
COL	000ECLT140010001	000010 Wall Mart	
Bill Outstanding Amount	Liquidation Amount	Finance Amount	
GBP £29,968.00	GBP £25,000.00	GBP	

Hold
Cancel
Save & Close
Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	Provide the Documentary Collection Number. Alternatively, user can search the Documentary Collection Number using LOV.  In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.	
Drawer ID	Read only field.  Drawer ID will be auto-populated based on the selected Documentary Collection Number.	
Drawer Name	Read only field.  Drawer Name will be auto-populated based on the selected Documentary Collection Number.	
Branch	Read only field.  Branch details will be auto-populated based on the selected Documentary Collection Number.	203-Bank Futura -Branch FZ1
Bill Currency and Amount	Read only field.  Bill currency and amount will be auto-populated based on the selected Documentary Collection Number.	

Field	Description	Sample Values
Process Reference Number	Unique OBTFPM task reference number for the transaction.  This is auto generated by the system based on process name and branch code.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export Documentary Collection Liquidation request. By default the submission mode will have the value as 'Desk'.  <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier	Desk
Liquidation Date	By default, the application will display branch's current date.	04/13/2018
Version Number	This field displays the latest version of the bill.	

## Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Free Tasks

FLEXCUBE UNIVERSAL BAN...  
Jan 1, 2014
SRIDHAR01  
subham@gmail.com

Export Documentary Collection Liquidation Documents Remarks

---

**Application Details**

Documentary Collection Number *	Drawer *	Branch	Bill Amount *
000ECLT140010001	000009 NESTLE	000-FLEXCUBE UNIVERSAL BANK	GBP £30,000.00
Process Reference Number	Priority	Submission Mode	Liquidation Date *
000ECL000029877	Medium	Desk	Jan 1, 2014
Version Number			
1			

View Collection Events

**Collection Details**

Documents Received	Tenor	Product Code	Product Description
First	Usance	ECLT	OUTGOING DOCUMENTARY USANCE E
Operation Type	Contract Reference Number	Drawee	Collecting Bank
COL	000ECLT140010001	000010 Wall Mart	
Bill Outstanding Amount	Liquidation Amount	Finance Amount	
GBP £29,988.00	GBP £25,000.00	GBP	

Hold Cancel Save & Close Submit



Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Operation Code	Read only field. Operation code will be auto-populated based on the selected Documentary Collection Number.	
Documents Received	Read only field. Documents received details will be auto-populated based on the selected Documentary Collection Number.	
Tenor	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number.	
Product Code	Read only field. Product code will be auto-populated based on the selected Documentary Collection Number.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Drawee ID	Read only field. Drawee ID will be auto-populated based on the selected Documentary Collection Number.	
Drawee Name	Read only field. This field displays the Drawee Name as per the Drawee ID.	
Bill Outstanding Amount	Read only field. Bill Outstanding Amount will be auto-populated based on the selected Documentary Collection Number.	
Liquidation Amount	Provide the bill amount to be liquidated.	
Collecting Bank ID	Read only field. Collecting Bank ID will be auto-populated based on the selected Documentary Collection Number from the LOV.	
Collecting Bank Name	Read only field. This field displays the Collecting Bank name as per the collecting bank ID.	
Sender to Receiver Information	This field enables the user to provide the Sender to Receiver Information.	

# Miscellaneous

ORACLE Free Tasks
FLEXCUBE UNIVERSAL BAN... SRIDHAR01  
Jan 1, 2014 subham@gmail.com

Export Documentary Collection Liquidation

Documents
Remarks

**Application Details**

Documentary Collection Number * 000ECLT140010001	Drawer * 000009 NESTLE	Branch 000-FLEXCUBE UNIVERSAL BANK	Bill Amount * GBP £30,000.00
Process Reference Number 000EDCL000029877	Priority Medium	Submission Mode Desk	Liquidation Date * Jan 1, 2014
Version Number 1			

View Collection
Events

**Collection Details**

Documents Received First	Tenor Usance	Product Code ECLT	Product Description OUTGOING DOCUMENTARY USANCE E
Operation Type COL	Contract Reference Number 000ECLT140010001	Drawee 000010 Wall Mart	Collecting Bank
Bill Outstanding Amount GBP £29,988.00	Liquidation Amount GBP £25,000.00	Finance Amount GBP	

Hold
Cancel
Save & Close
Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.</p> <div style="border: 1px solid #ccc; padding: 5px; margin-top: 10px;"> <p>Checklist</p> <p>Registration</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Application signed and stamped <span style="float: right; border: 1px solid #ccc; padding: 2px 5px;">Remarks</span></li> <li><input checked="" type="checkbox"/> Any correction or alteration initialled by the app <span style="float: right; border: 1px solid #ccc; padding: 2px 5px;">Remarks</span></li> <li><input type="checkbox"/> Amount in words and numbers are matching <span style="float: right; border: 1px solid #ccc; padding: 2px 5px;">Remarks</span></li> <li><input checked="" type="checkbox"/> LC amt and ccy are uniform across the application <span style="float: right; border: 1px solid #ccc; padding: 2px 5px;">Remarks</span></li> <li><input checked="" type="checkbox"/> customer signature verified <span style="float: right; border: 1px solid #ccc; padding: 2px 5px;">Remarks</span></li> </ul> <p style="text-align: right; margin-top: 10px;"> <span style="border: 1px solid #ccc; padding: 2px 10px;">Save Checklist</span> <span style="float: right; border: 1px solid #ccc; padding: 2px 10px; margin-left: 20px;">X Close</span> </p> </div>	

## Action Buttons

Field	Description	Sample Values
Submit	On submit, task will move to next logical stage of Export Documentary Collection Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Export Documentary Collection Liquidation Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

## Data Enrichment

On successful completion of Registration of an Export Documentary Collection Liquidation, the request moves to Data Enrichment stage. At this stage the gathered information during registration are scrutinized.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

The screenshot shows the Oracle dashboard for a user named SRIDHAR01. The dashboard includes several widgets:

- High Value Transactions:** A bubble chart showing transactions for GBP and EUR across a range of 0 to 12.
- High Priority Tasks:** A table listing tasks such as 'Import Documentary Collections' and 'Export LC Advising' with their respective stages and branches.
- Priority Summary:** A table summarizing tasks, including 'Export Documentary Collections-Update'.
- Pending Exception Approval:** A table listing pending approvals, such as 'Amount Block Exception Approval' and 'Limit Earmarking Exception Approval'.
- SLA Status Summary:** A summary of SLA status for 'Export Documentary Collections-Update'.

3. Click Trade Finance> Tasks> Free Tasks.

The screenshot shows the 'Free Tasks' page in Oracle. The page includes a search bar, a 'Refresh' button, and a table of tasks. The 'Free Tasks' option in the left sidebar is highlighted with a red box.

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number	At
Acquire & Edit		Export Documentary Li...	000EDCL000029877	DataEnrichment	1586937542903	000	000009	
Acquire & Edit	M	Import Documentary- B...	300IDCB000029879	DataEnrichment	1586937728729	300	001506	
Acquire & Edit	M	Import LC Issuance	300ILCI000029822	HandoffRetryTask	70-01-01	300	001506	
Acquire & Edit	L	Import Documentary- B...	000IDCB000029869	DataEnrichment	1586935595557	300	000823	
Acquire & Edit	M	Import LC Issuance	000ILCI000029864	Scrutiny	1586935200284	000	000947	
Acquire & Edit	M	Import LC Issuance	000ILCI000029856	HandoffRetryTask	70-01-01	000	000947	
Acquire & Edit		Export LC Drawing	300ELCD000029819	Scrutiny	1586928618530	300	001506	
Acquire & Edit		Export LC Drawing	300ELCD000029807	Scrutiny	1586925139720	300	001506	
Acquire & Edit	M	Import LC Issuance	300ILCI000029805	Approval Task Level 1	70-01-01	300	001506	
Acquire & Edit		Export LC Drawing	300ELCD000029806	Scrutiny	1586925139720	300	001506	

Page 1 of 40 (1 - 20 of 782 items) | K < 1 2 3 4 5 ... 40 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference	Stage	Application Date	Branch	Customer Number
Acquire & Edit		Export Documentary Li...	000EDCL000029877	DataEnrichment	1586937542903	000	000009
Acquire & Edit	M	Import Documentary- B...	300IDCB000029879	DataEnrichment	1586937728729	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029822	HandoffRetryTask	70-01-01	300	001506
Acquire & Edit	L	Import Documentary- B...	000IDCB000029869	DataEnrichment	158693595557	300	000823
Acquire & Edit	M	Import LC Issuance	000ILCI000029864	Scrutiny	1586935200284	000	000947
Acquire & Edit	M	Import LC Issuance	000ILCI000029856	HandoffRetryTask	70-01-01	000	000947
Acquire & Edit		Export LC Drawing	300ELCD000029819	Scrutiny	1586928618530	300	001506
Acquire & Edit		Export LC Drawing	300ELCD000029807	Scrutiny	1586925139720	300	001506
Acquire & Edit	M	Import LC Issuance	300ILCI000029805	Approval Task Level 1	70-01-01	300	001506

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Customer Number
Edit		Export Documentary Li...	000EDCL000029877	000EDCL000029877	DataEnrichment	1586937542903	000	000009

The Data Enrichment stage has the following hops for data capture:

- Main Details
- Liquidation
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration channels may not be editable.

## Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

## Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

The screenshot shows the Oracle application interface for 'Export Documentary Liquidation - DataEnrichment'. The application number is 000EDCL000029877. The interface is divided into 'Main Details' and 'Collection Details' sections. The 'Application Details' section is highlighted with a red border and contains the following fields:

Documentary Collection Number *	Drawer *	Branch	Bill Amount *
000ECLT140010001	000009 NESTLE	000-FLEXCUBE UNIVERSAL BANK	GBP £30,000.00
Process Reference Number	Priority	Submission Mode	Liquidation Date *
000EDCL000029877	Medium	Desk	Jan 1, 2014
Version Number			
1			

The 'Collection Details' section contains the following fields:

Documents Received	Tenor	Product Code *	Product Description
First	Usance	ECLT	OUTGOING DOCUMENTARY USANCE E
Operation Type	Contract Reference Number	Drawee	Collecting Bank
COL	000ECLT140010001	000010 Wall Mart	
Bill Outstanding Amount	Liquidation Amount	Finance Amount	
GBP £29,988.00	GBP £25,000.00	GBP	

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

## Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

This screenshot is identical to the one above, showing the Oracle application interface for 'Export Documentary Liquidation - DataEnrichment'. The application number is 000EDCL000029877. The interface is divided into 'Main Details' and 'Collection Details' sections. The 'Collection Details' section is highlighted with a red border and contains the following fields:

Documents Received	Tenor	Product Code *	Product Description
First	Usance	ECLT	OUTGOING DOCUMENTARY USANCE E
Operation Type	Contract Reference Number	Drawee	Collecting Bank
COL	000ECLT140010001	000010 Wall Mart	
Bill Outstanding Amount	Liquidation Amount	Finance Amount	
GBP £29,988.00	GBP £25,000.00	GBP	

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

## Liquidation

Liquidation hop enables the user to provide details to process the liquidation.

## Draft Details

User can view the draft details:

Field	Description	Sample Values
Draft Code	Read only field. This field displays the Draft Code.	
Draft Amount	Read only field. This field displays the Draft Amount based on the documents submitted under Import Collection Booking.	

## Shipment Details

User can view the goods and shipment details updated in Export Collection Booking process.



## Shipment Details

Shipment Details
✕

Transshipment

Partial Shipments

Date of Shipment

Place of Taking in Charge

Port of Loading

Port of Discharge

Place of Final Delivery

Carrier Name

Shipping Agent Name

Shipping Agent Address

INCO Terms

INCO Terms Description

45A Description of Goods and/or Services

Goods Code	Goods Type	Goods Description	
No data to display.			

Country of Origin


Insurance Company




Insurance Company Address

Multimodal/ Transshipment details

Carrier Name	Port
No data to display.	

This section displays the shipment details from Export Collection Booking:

Field	Description	Sample Values
Date Of Shipment	<p>Read only field.</p> <p>This field displays date of shipment from Export Collection Booking.</p>	
Place Of Taking In Charge	<p>Read only filed.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <div style="text-align: center; margin-top: 10px;">  <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>.</p> </div>	

Field	Description	Sample Values
Port Of Loading	<p>Read only field.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> <b>Note</b> This field is alternate to <b>Place Of Taking In Charge</b>.</p>	
Port Of Discharge	<p>Read only field.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p> <b>Note</b> This field is alternate to <b>Place Of Final Destination</b>.</p>	
Place Of Final Delivery	<p>Read only field.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p> <b>Note</b> This field is alternate to <b>Port Of Discharge</b>.</p>	
Carrier Name	<p>Read only field.</p> <p>This field displays the name of the carrier through which the goods were shipped from Export Collection Booking.</p>	
Shipping Agent	<p>Read only field.</p> <p>This field displays the shipping agent name from Export Collection Booking.</p>	
Shipping Agent Address	<p>Read only field.</p> <p>This field displays the name shipping agent address from Export Collection Booking.</p>	
Transshipment	<p>Read only field.</p> <p>This field displays the transshipment details of goods from Export Collection Booking.</p>	
Partial Shipment	<p>Read only field.</p> <p>This field displays the partial shipment details of goods from Export Collection Booking.</p>	

## Goods Details

This section displays the goods details from Export Collection Booking:

Shipment Details ×

Transshipment

Partial Shipments

Date of Shipment

Place of Taking in Charge

Port of Loading

Port of Discharge

Place of Final Delivery

Carrier Name

Shipping Agent Name

Shipping Agent Address

INCO Terms

INCO Terms Description

45A Description of Goods and/or Services

Goods Code	Goods Type	Goods Description
No data to display.		

Country of Origin

Insurance Company

Insurance Company Address

Multimodal/ Transshipment details

Carrier Name	Port
No data to display.	

Field	Description	Sample Values
INCO Terms	Read only field. This field displays the INCO Terms from Export Collection Booking.	
Goods Code	Read only field. This field displays the goods code from Export Collection Booking.	
Goods Description	Read only field. This field displays the goods description from Export Collection Booking.	
Country of Origin	Read only field. This field displays the country of origin from Export Collection Booking.	
Insurance Company Code	Read only field. This field displays the insurance company code from Export Collection Booking.	
Insurance Company	Read only field. This field displays the insurance company details from Export Collection Booking.	

Field	Description	Sample Values
Insurance Company Address	Read only field. This field displays the insurance address from Export Collection Booking.	

### Multimodal/Transshipment Details

This section displays the multimodal/transshipment details from Export Collection Booking.

Field	Description	Sample Values
Carrier Name	Read only field. This field displays the carrier name from Export Collection Booking.	
Port	Read only field. This field displays the port details from Export Collection Booking.	

### Document Details

This section displays details of the documents received from Export Collection Booking.

The screenshot shows a window titled "Document Details" with a close button (X) in the top right corner. Below the title bar is a section labeled "Documents Details" containing a table with the following columns: "Select", "Document Code", "Documents Description", "Action", "Document Reference", "First Mail Received Copy", "First Mail Received Original", "Second Mail Received Copy", and "Second Mail Received Original". The table lists six document types: AIRDOC, BOL, INSDOC, INVDOC, MARDOC, and OTHERDOC. Each row has a checkbox in the "Select" column and a green button with a magnifying glass icon in the "Action" column. At the bottom right of the window, there are two buttons: "Save & Close" and "Close".

Field	Description	Sample Values
Document Code	Read only field. This field displays the document code from Export Collection Booking.	
Document Description	Read only field. This field displays the document description from Export Collection Booking.	
Document Reference	Read only field. This field displays the document reference from Export Collection Booking.	
Received - Original	Read only field. This field displays the number of original from Export Collection Booking.	

Field	Description	Sample Values
Received - Copy	Read only field. This field displays the number of copies from Export Collection Booking.	

## Maturity Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per the document received.	
Tenor Basis	Read only field. This field displays the tenor basis, if the tenor is not sight.	
Tenor Start Date	Read only field. This field displays the tenor start date.	
Tenor Days	Read only field. This field displays the number of tenor days	
Transit Days	Read only field. This field displays the transit days, if the tenor is sight.	

Field	Description	Sample Values
Maturity date	<p>Read only field.</p> <p>This field displays the due date for the drawing based on tenor and tenor basis.</p> <p>If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date.</p> <p>If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.</p>	
Usance Interest Rate	<p>Read only field.</p> <p>This field displays the usance interest rate.</p>	
Usance Interest Amount	<p>Read only field.</p> <p>This field displays the usance interest amount for the LC value as per the tenor basis.</p>	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	<p>Read only field.</p> <p>This field displays the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.</p>	
Interest to Date	<p>Read only field.</p> <p>This field displays maturity date in this field, if Interest from Date is provided.</p>	
Acceptance Commission From Date	<p>Read only field.</p> <p>This field displays the acceptance commission from date.</p>	
Acceptance Commission To Date	<p>Read only field.</p> <p>This field displays the acceptance commission to date.</p>	

#### Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	<p>Read only field.</p> <p>This field displays the charges to be collected for the other bank as part of the drawings transaction.</p>	

Field	Description	Sample Values
Other Bank Charges - 2	Read only field. This field displays charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Read only field. This field displays charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Read only field. This field displays the debit value date.	
Credit Value Date	Read only field. This field displays the credit value date.	
Value Date	Read only field. This field displays the value date.	
Allow Pre-Payment	<b>Toggle On:</b> Set the toggle 'On' to enable pre-payment. <b>Toggle Off:</b> Set the toggle 'Off' to disable pre-payment.	
Refund Interest	<b>Toggle On:</b> Set the toggle 'On' to refund the interest. <b>Toggle Off:</b> Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	<b>Toggle On:</b> Set the toggle 'On' to enable the transfer collateral from LC. <b>Toggle Off:</b> Set the toggle 'Off' to disable the transfer collateral from LC.	

## Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

ORACLE My Tasks FLEXCUBE UNIVERSAL BAN... SRIDHAR01  
Jan 1, 2014 subham@gmail.com  
Export Documentary Liquidation - DataEnrichment :: Application No: 000EDCL000029877 Documents Remarks View Collection Screen (3 / 7)

Main Details  
Liquidation  
**Additional Fields**  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Fields  
No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

## Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

ORACLE My Tasks FLEXCUBE UNIVERSAL BAN... SRIDHAR01  
Jan 1, 2014 subham@gmail.com  
Export Documentary Liquidation - DataEnrichment :: Application No: 000EDCL000029877 Documents Remarks View Collection Screen (4 / 7)

Main Details  
Liquidation  
Additional Fields  
**Advices**  
Additional Details  
Settlement Details  
Summary

Advices

Advice : PAYMENT\_MESSAGE

Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress : N  
Advice

Audit Reject Refer Hold Cancel Save & Close Back Next



## Additional Details

## Charge Details

This section displays charge details:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting the details to be available for billing engine for further processing.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected.  Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Payment Details

Payment Details x

---


PaymentDetails

Immediate Liquidation Required     
  Immediate Acceptance Required     
 Reimbursing Bank: 001610       
  Reimbursement Claimed

Reimbursement Date: Dec 8, 2018

## Preferences

Select the preferences options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Advance by Loan	Read only field. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Read only field.	
Auto Change from Acceptance to Advance	Read only field. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.	
	<div style="text-align: center;">   <b>Note</b> </div> This option is applicable only for the bills that are co-accepted by the bank.	

## Cash Collateral Details

Select the cash collateral details options based on the description in the following table:



### Note

Cash collateral is applicable only for the bills which are co-accepted by the bank.

Field	Description	Sample Values
Outstanding Collateral Amount	Read only field. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	

Field	Description	Sample Values
Liquidate using Collateral	Read only field.	


## FX Linkage

This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

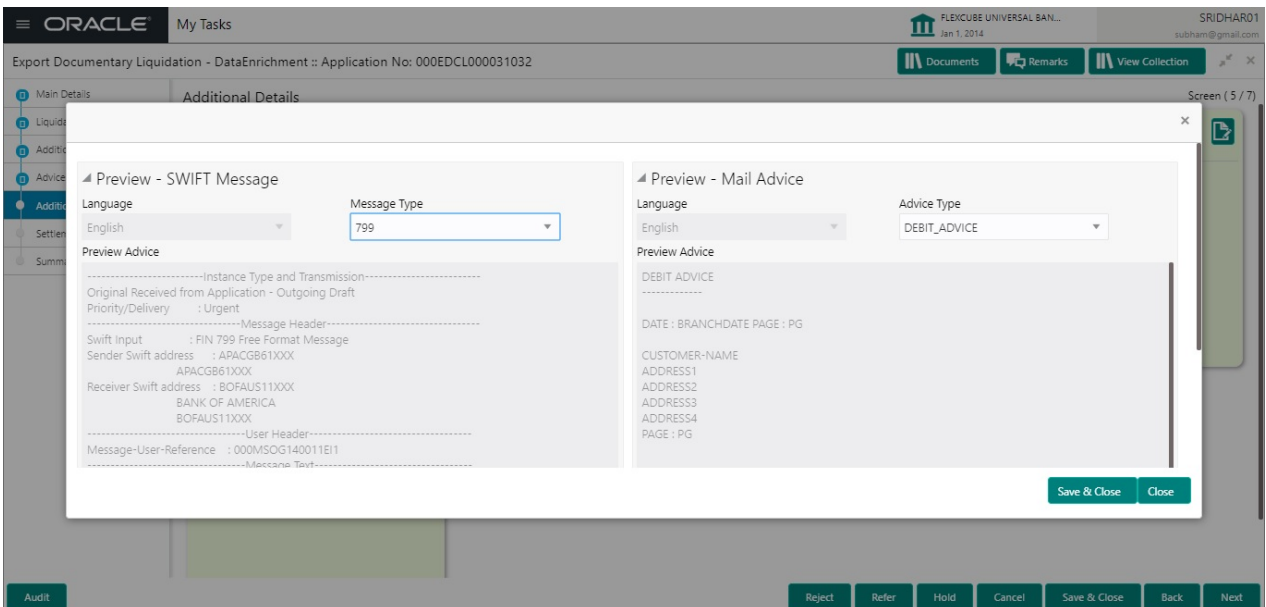
Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Bill Currency	Read only field. This field displays the currency details from the bill.	
Bill Amount	Read only field. This field displays the amount from the Bill.	
Bill Maturity Date	System to display the due date for the bill in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
FX Reference Number	Select the FX contract from the LOV.	
FX Currency	This field displays the currency of the FX contract.	
FX Amount	This field displays the amount of the FX contract.	
FX Available Amount	This field displays the unlinked/ available amount under the FX contract.	

Field	Description	Sample Values
FX Linkage Amount	<p>If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.</p> <p style="text-align: center;">   <b>Note</b>            The linkage amount must not be more than the amount.         </p>	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Expiry Date	This field displays the expiry date of the contract.	
Delivery Period - From	This field displays the date from which the contract is available for utilization.	
Delivery Period - To	This field displays the date to which the contract is available for utilization.	
Average FX Rate	Average rate for more than one contract if linked.	

## Preview Message

User can view the draft message being displayed on the preview message text box.



The screenshot shows the Oracle Flexcube interface with a 'Preview - SWIFT Message' dialog box open. The dialog is divided into two main sections: 'Preview - SWIFT Message' and 'Preview - Mail Advice'. In the 'Preview - SWIFT Message' section, the Language is set to 'English' and the Message Type is '799'. The 'Preview Advice' section displays the following details:

```

-----Instance Type and Transmission-----
Original Received from Application - Outgoing Draft
Priority/Delivery : Urgent
-----Message Header-----
Swift Input : FIN 799 Free Format Message
Sender Swift address : APACGB61XXX
APACGB61XXX
Receiver Swift address : BOFAUS11XXX
BANK OF AMERICA
BOFAUS11XXX
-----User Header-----
Message-User-Reference : 000MSOG140011E11
-----Message Text-----

```

In the 'Preview - Mail Advice' section, the Language is 'English' and the Advice Type is 'DEBIT\_ADVICE'. The 'Preview Advice' section displays the following details:

```

DEBIT ADVICE
-----
DATE : BRANCHDATE PAGE : PG
CUSTOMER-NAME
ADDRESS1
ADDRESS2
ADDRESS3
ADDRESS4
PAGE : PG

```

The dialog box has 'Save & Close' and 'Close' buttons at the bottom right. The background interface shows the Oracle logo, 'My Tasks', and various application details like 'Export Documentary Liquidation - DataEnrichment :: Application No: 000EDCL000031032'.

## Pre-Shipment Details

Provide the Liquidate Pre-Shipment Finance details based on the description in the following table:

Field	Description	Sample Values
Pre-Shipment Credit Number	Provide the Pre-Shipment Credit Number. Alternatively, user can search the Pre-Shipment Credit Number in the LOV.	
Currency	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Outstanding Amount	Read only field Application defaults the currency of the Pre-Shipment Credit Number.	
Repayment Amount	Provide the repayment amount.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical screen of Data Enrichment stage.	



## Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details Screen ( 4 / 6 )

▲ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNGG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

▲ BCCOUR\_LIQD - Party Details

Transfer Type:

Ordering Institution:

Account With Institution:

Charge Details:

Senders Correspondent:

Beneficiary Institution:

Netting Indicator:

Receivers Correspondent:

Ultimate Beneficiary:

Ordering Customer:

Intermediary Institution:

Intermediary Reimbursement Institution:

Payment Details

Sender To Receiver 1:

Sender To Receiver 2:

Sender To Receiver 3:

Sender To Receiver 4:

Sender To Receiver 5:

Sender To Receiver 6:

Remittance Information

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

## Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

## Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

## Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

## Summary

User can review the summary of details updated in Data Enrichment stage of Export Documentary Collection Liquidation request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot displays the Oracle Flexcube Universal Banking interface for an Export Documentary Liquidation request. The main content area is titled 'Summary' and contains a grid of 16 summary tiles, each representing a different data segment. The tiles are arranged in a 4x4 grid. The tiles are: Main Details, Document Details, Shipment Details, Draft Details, Maturity Details, Pre Shipment Details, Additional Fields, Advices, Commission, Charges and Taxes, Preview Messages, Limits and Collaterals, Payment Details, FX Linkage Details, Compliance, and Party Details. Each tile displays key fields and their values, such as 'bookingDate : 2014-01-01', 'Document 1 : AIRDOC', 'Port of Loading :', 'draft1 :', 'Tenor Type : Usance', 'Preshipment Credit :', 'Click here to view :', 'advice1 : PAYMENT\_ME', 'Charge : GBP1050', 'Language : ENG', 'Limit Currency :', 'LiquidateusingCollateral', 'Reference No. :', 'KYC : Verified', 'Drawee : Wall Mart', etc. The interface also includes a navigation menu on the left with options like 'Main Details', 'Liquidation', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. At the bottom, there is a navigation bar with buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next', and 'Submit'.

## Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Pre-Shipment Details - User can view the pre-shipment details.
- Advices - User can view the advices.
- Additional Fields - User can view the details of additional fields.
- Draft Details - User can view the draft details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Preview - User can view the preview message.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Export Documentary Collection Liquidation.  If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update.  This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.  This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

## Exceptions

The Export Collection Liquidation request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Amount Block Exception

This section will display the amount block exception details.

### Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	<p>Cancel the Export Collection Booking Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	

Field	Description	Sample Values
Back	Task moves to previous logical step.	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

## Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export Collection Liquidation KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

## Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:



## Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

## Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

## Reject

The transaction due to non-availability of limits capturing reject reason.

## Limit/Credit Check

This section will display the amount block exception details.

## Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Export Collection Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

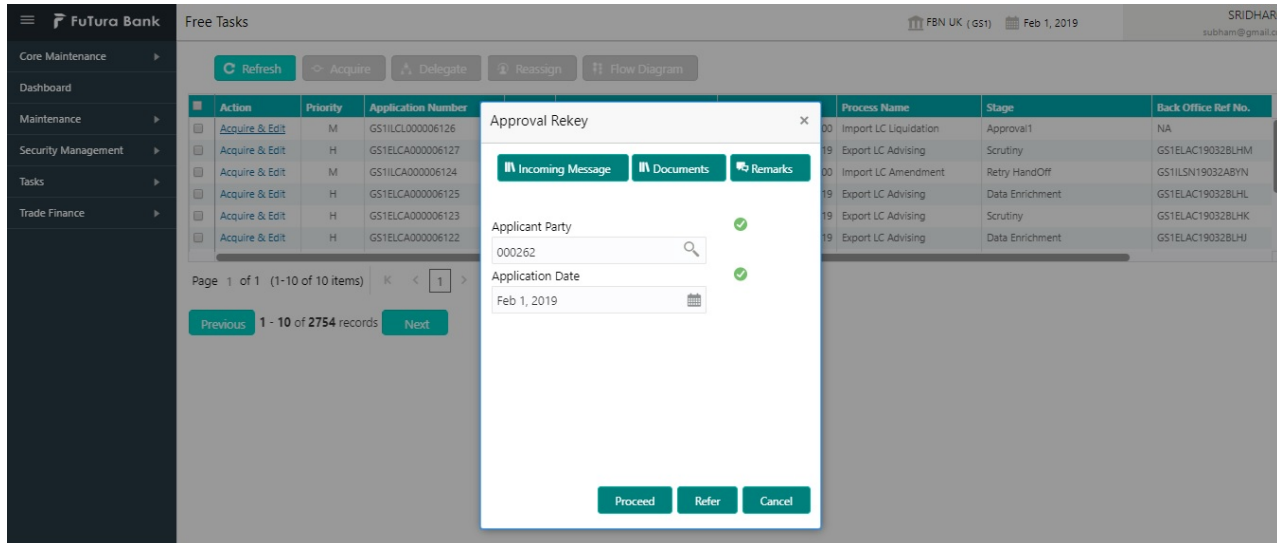
### Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.



## Summary

Summary :: Application Number : 000EDCL000029877

Main Details	Shipment Details	Maturity Details	Document Details	Draft Details
bookingDate : 2014-01-01 Submission Mode : Desk LiquidationAmount : 25000	Port of Loading : Port of Discharge : shipmentDate : carrierName :	Tenor Type : Usance Tenor Basis : Maturity Date : 2014-01-02	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	draft1 : draft2 :
Party Details	Additional Fields	Advice Details	Payment Details	Charge
Drawee : Wall Mart Drawer : NESTLE	Click here to view Additional fields	advice1 : PAYMENT_ME	LiquidateusingCollateral AdvanceByLoan : AllowRollover :	Charge : GBP1050 Commission : Tax : Block Status : Success
Limits Details	FX Linkage Details	Settlement Details	Preshipment Details	Preview Message
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Reference No. : Contract Amount : Linkage Amount :	Component : LOAN_LIQD_ Account Number : 000356780180 Currency : GBP	Preshipment Credit : Preshipment Credit : OutstandingAmount : Preshipment Credit RepayAmount	Language : ENG Preview Message : -
Compliance				
KYC : Not Verified Sanctions : Verified AML : Verified				

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Pre-Shipment Details - User can view the pre-shipment details.

- Advices - User can view the advices.
- Additional Fields - User can view the details of additional fields.
- Draft Details - User can view the draft details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- FX Linkage - User can view the details of FX Linkage.
- Payment Details - User can view the payment details.
- Preview - User can view the preview message.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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